

**National Evaluation System
Adaption and Application of DAC Evaluation Criteria
in a SDG Era in a Country Context**

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Focus of Evaluation

- What works? When ? For Whom? In What Context? and Why?
- What works? What does not work? And Why?
- Under what context it works? or It does not work?.

DAC Evaluation Criteria in Partner Countries

- Relevance
- Efficiency
- Effectiveness
- Impact
- Sustainability

Country-led Evaluations

Organizing the Evaluation Questions using DAC Criteria

1. Relevance

- (a) Is the project / programme is relevant to the **needs / priorities of the beneficiaries** (Impacted) in terms of its National Development Framework and Sub-National Level Development Framework
- (b) Are the outputs / outcomes of the programmes consistent with the overall purpose/ goal and are critical, balanced and appropriate ?
- (c) Whether the **theory of change** / programme theory / intervention logic is robust and likely to work in terms of its objectives
- (d) is the programme objective still valid ?

Country-led Evaluations

Organizing the Evaluation Questions using DAC Criteria

2. Efficiency

- (a) Measures the input-output relationship
- (b) **Cost effective** (least cost) - considers social and environmental costs
- (c) Implemented on time (no time overrun) within the cost (no cost overrun)
- (d) Alternatives examined
- (e) Implementation modality / approach is most appropriate and efficient
- (f) is quality criteria met ?

3. Effectiveness

- (a) Does the programme provides “ Value for Money (VFM) ?
- (b) To what extend the outcomes are achieved or likely to be achieved (Not just from donor or Government perspective but also importantly from beneficiaries perspectives
- (c) Can you attribute the results to the intervention ?

Country-led Evaluations

Organizing the Evaluation Questions using DAC Criteria

4. Impact

- (a) Whether the program made a change in the quality of life of the people
- (b) Positive / Negative , Intended / Unintended
- (d) Economical / Social / Environmental / Gender

5. Sustainability

- (a) Are the results sustainable ? Benefits likely to continue after withdrawal of donor funding
- (b) Environmental / Financial sustainability
- (c) Institutional arrangement for O & M
- (d) Political / Cultural / Technological aspects

DAC evaluation Criteria helped Country Evaluations in structuring evaluation questions

- DAC Evaluation Criteria helped evaluation to reach out beyond input-output thinking (Robert Picciotto)
- The DAC Criteria is the right first step to design right evaluation questions .
- Widely-used by partner countries, development partners and INGOs
- REEIS (Big Five) - used as gold standards in evaluation - Not imposed but picked-up by many different actors

Re-visit the DAC Criteria in SDG Era : Current Criteria - retain, Adapt or Remove

Retain and Adapt

- Universal acceptance
- use across evaluation professionals (professionalization)
- Standardization for comparability /
- Synthesis of different evaluation.
- realistic to implement results focus
- Criteria to be seen as a guide not, used in a mechanistic way (criteria is not a straight Jacket

Remove

- In use for more than 30 years without revision
- Shift in Norms and Values in SDG Era(whose reality counts? - More inclusive growth covering underprivileged group - No one left behind (Beneficiaries perspective
- Need to include gender, human rights, equity, environment and climate change and peace
- Need to recognize complexity - inter-connectedness , inter-dependence and trade-offs - policy coherence
- Linear Result Chain questioned in a complex world - greater flexibility demanded - unexpected results should be captured
- Cost and benefits are challenged to take long-term impacts into account
- “Reduced development space” - made evaluation a “craft” rather than a “profession”

Strong support to retain the existing criteria but, the definitions be refined to adapt to the challenges in the SDG Era

Assessment and Ideas for improvements: Quality of Evaluation

- Strong Foundation for International Development Evaluation since 1991
- Backed by the 30 heads of professional evaluation units of multilaterals and bilateral
- Prominently and widely adopted by multilaterals bilateral, INGOs and Partner countries

Revise / refocus of definitions and interpretations

5 DAC Evaluation Criteria (REEIS)

Addition of key missing criteria (Omission)

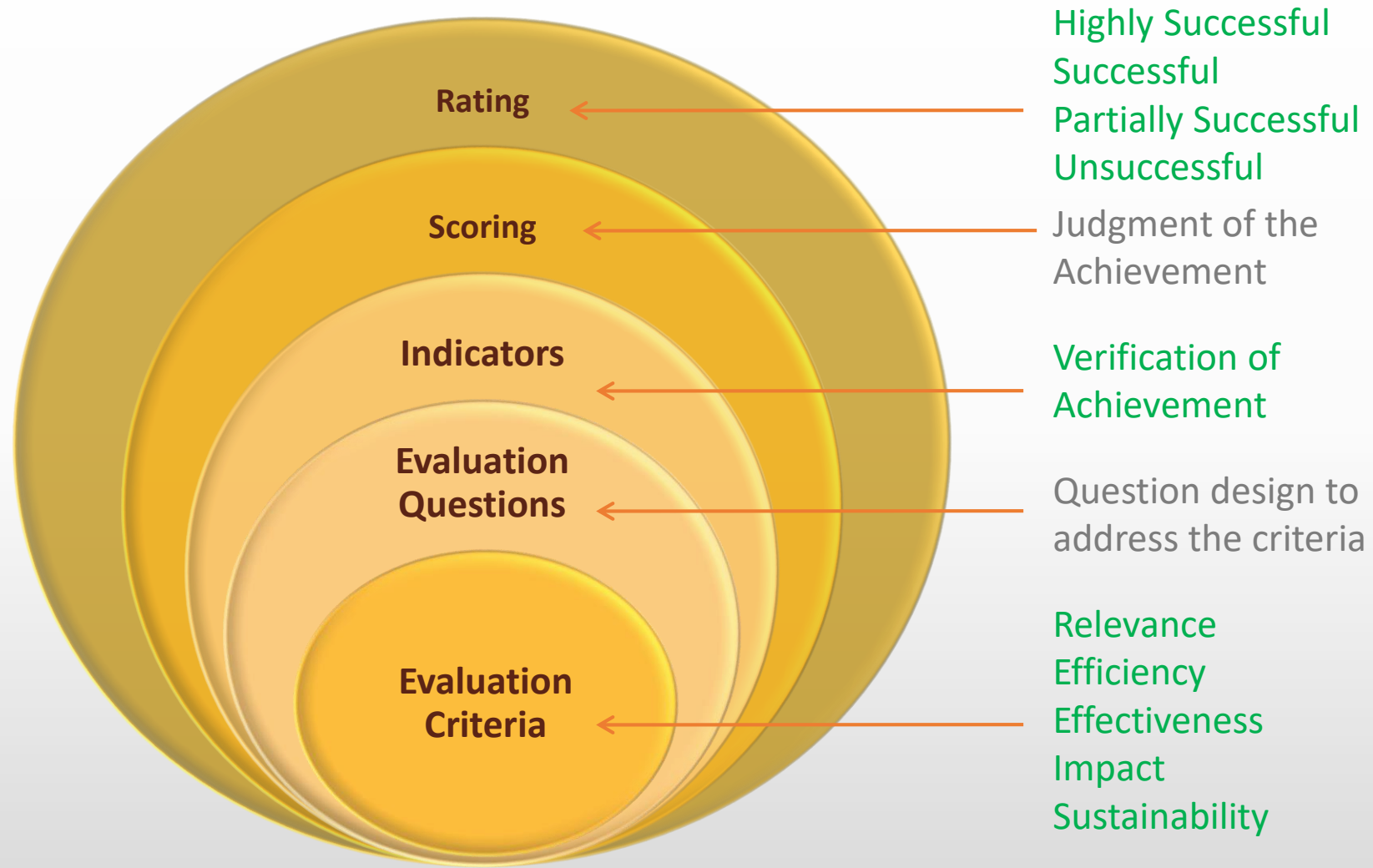
Relative importance of the criteria (weight)

Source : Thomas Chianca

Evaluation Methodology : Rating System

Criterion	Weight	Rating Description	Rating Value
1. Relevance	20%	Highly Relevant Relevant Partly Relevant Irrelevant	3 2 1 0
2. Efficacy	25%	Highly Efficacious Efficacious Less Efficacious Inefficacious	3 2 1 0
3. Efficiency	20%	Highly Efficient Efficient Less Efficient Inefficient	3 2 1 0
4. Sustainability	20%	Most likely Likely Less Likely Unlikely	3 2 1 0
5. Institutional Development and Other Impact	15%	Substantial Significant Moderate Negligible	3 2 1 0
Overall Assessment (Weighted average of A1, A2, A3, B and C)	Highly successful (HS): Overall weighted average (OWA) is > 2.5 and none of the 5 criteria has a score of less than 2; Successful (S): OWA is between $1.6 \leq S \leq 2.5$ and none of the 5 criteria has a score of less than 1; Partly Successful (PS): OWA is between $0.6 \leq PS \leq 1.6$ and number of criteria receiving a rating of less than 1 should not exceed 2; Unsuccessful (US) : OWA is < 0.6		

Evaluation Methodology



Evaluation Design Matrix

- The design matrix is a visual way to map your evaluation plan with a clear evaluation questions
- Deciding on the questions you will answer, choosing an appropriate design for the question and situation, determining how you will construct the measures, locating likely sources of information and data, deciding on the data collection strategy, sampling, and likely data analysis techniques

Evaluation Questions	Indicators	Data Source	Data Collection Method

THANK YOU

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